BEFORE THE SECURITIES APPELLATE TRIBUNAL MUMBAI

Appeal No. 147 of 2011

Date of Decision: 28.11.2011

Harbinger Trading Co. Pvt. Ltd. Ground Floor, Bhupen Chambers, Dalal Street, Mumbai 400 001.

...Appellant

Versus

Securities and Exchange Board of India SEBI Bhavan, Plot No. C-4A, G-Block, Bandra-Kurla Complex, Bandra (East), Mumbai – 400 051.

...Respondent

Mr. D.P. Desai, Advocate for the Appellant.

Dr. (Mrs.) Poornima Advani, Advocate with Mr. Ajay Khaire, Advocate for the Respondent.

CORAM: Justice N.K. Sodhi, Presiding Officer

P.K. Malhotra, Member S.S.N. Moorthy, Member

Per: Justice N.K. Sodhi, Presiding Officer (Oral)

Same order as in Appeal no. 143 of 2011 decided on November 28, 2011.

Sd/-Justice N.K. Sodhi Presiding Officer

Sd/-P.K. Malhotra Member

Sd/-S.S.N. Moorthy Member

 $\begin{array}{l} 28.11.2011 \\ \text{Prepared and compared by:} \\ msb \end{array}$