

**BEFORE THE SECURITIES APPELLATE TRIBUNAL
MUMBAI**

Appeal No. 118 of 2011

Date of decision: 5.8.2011

Morgan Financial Services Private Limited
23 A, NS Road, Ist Floor, Room no. 27 A,
Kolkata- 700 001

.....Appellant

Versus

Securities and Exchange Board of India
SEBI Bhavan, Plot No. C-4A, G-Block,
Bandra Kurla Complex, Bandra (E)
Mumbai- 400 051

..... Respondent

Mr. Vinay Chauhan, Advocate with Mr. Anant Upadhyay, Advocate for the Appellant.

Mr. Shiraz Rustomjee, Advocate with Mr. Mihir Mody, Advocate for the Respondent.

CORAM : Justice N.K. Sodhi, Presiding Officer
P.K. Malhotra, Member
S.S.N. Moorthy, Member

Per : Justice N.K. Sodhi, Presiding Officer (Oral)

Same order as in Appeal no. 113 of 2011 decided on 5.8.2011.

Sd/-
Justice N.K.Sodhi
Presiding Officer

Sd/-
P.K.Malhotra
Member

Sd/-
S.S.N. Moorthy
Member

5.8.2011
pmb
Prepared & Compared By: Pmb