## BEFORE THE SECURITIES APPELLATE TRIBUNAL MUMBAI

## **Appeal No. 51 of 2011**

Date of decision: 9.9.2011

Shree Hari Hira Stock Broking Pvt. Ltd. R-638, Rotunda Bldg., 6<sup>th</sup> Floor, B. S. Marg, Fort, Mumbai – 400 023.

... Appellant

## Versus

Securities and Exchange Board of India SEBI Bhavan, Plot No. C-4A, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051.

... Respondent

Mr. Prakash Shah, Advocate for the Appellant.

Mr. Advait Sethna, Advocate for the Respondent.

CORAM: Justice N. K. Sodhi, Presiding Officer P. K. Malhotra, Member S. S. N. Moorthy, Member

Per: Justice N. K. Sodhi, Presiding Officer (Oral)

For orders see Appeal no. 42 of 2011 decided on 9.9.2011.

Sd/-Justice N. K. Sodhi Presiding Officer

Sd/-P. K. Malhotra Member

Sd/-S. S. N. Moorthy Member

 $\begin{array}{l} 9.9.2011 \\ \text{Prepared \& Compared by} \\ \end{array}$